### REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Services and Communications Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB

control number.		•	IE ABOVE ORGANIZATION		with a cone	ection of information in it does not display a currently valid OMB	
1. REPORT DAT				JN.		3. DATES COVERED (From - To)	
	03-2012	, , ,   2	FINAL			03/01/2009-12/31/2011	
4. TITLE AND S	UBTITLE	I			5a. CO	NTRACT NUMBER	
SENSING AW	ARE DESIG	N APPROACH	IES FOR AIRBORNE				
NETWORKS					5h GR	ANT NUMBER	
					SD. GIV	FA9550-09-1-0298	
						1 A)330-07-1-0298	
					5c. PRO	OGRAM ELEMENT NUMBER	
6. AUTHOR(S)					5d. PROJECT NUMBER		
SUMIT ROY							
					5e. IA	SK NUMBER	
					5f. WORK UNIT NUMBER		
7 DEDECORAINA	CODCANIZATI	ON NAME(C) AN	UD ADDDECC/FC)			8. PERFORMING ORGANIZATION	
			ND ADDRESS(ES)			REPORT NUMBER	
DEPT. OF ELECTRICAL ENGINEERING U. WASHINGTON BOX 352500							
SEATTLE, WA 98195							
SEATTLE,	***************************************						
9. SPONSORIN	G/MONITORING	G AGENCY NAM	E(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)	
AIR FORCE OFFICE OF SCIENTIFIC RESEARCH/RSE							
875 N RANDO			SEI ITEII TEE				
ARLINGTON.	VA 22203					11. SPONSOR/MONITOR'S REPORT	
						NUMBER(S)	
						AFRL-OSR-VA-TR-2012-1198	
12. DISTRIBUTION	ON/AVAILABILI	ITY STATEMENT	Γ				
Distribution A: Approved for Public Release							
13. SUPPLEMENTARY NOTES							
14. ABSTRACT							
Under-utilization of existing spectrum usage by licensed users is a well-known fundamental problem in current networks. A novel							
concept towards it's amelioration is that of cognitive radio networks that allow usage of such un- or under- used spectrum by							
unlicensed or secondary users, provided they do not interfere with the incumbent or primary users. Clearly, an enabler of such							
opportunistic use is fast and accurate determination of primary user (PUs) channel status (whether occupied or idle) by secondary networks, as well as accurate modeling of patterns of spectrum occupancy by PUs that are dynamic in both time and frequency. In							
our work, we explore various options - algorithmic and architectural - towards optimizing the (mean) time to detect channel status							
subject to accuracy constraints. Finally, we also explored information theoretic results for a new cognitive interference channel that							
allows for multiple co-located secondary networks.							
	-	Ž					
15. SUBJECT TI	RMS						
Cognitive Networks, Spectrum Utilization, Sensing							
Cogmuve Metv	voiks, specifu	iii Guiizauoli,	Sensing				
16. SECURITY CLASSIFICATION OF: 17. LIMITATION OF 18. NUMBER 19a. NAME OF RESPONSIBLE PERSON							
	b. ABSTRACT		ABSTRACT	OF			
U	U	U	U	PAGES	19b. TEL	LEPHONE NUMBER (Include area code)	

#### **INSTRUCTIONS FOR COMPLETING SF 298**

- 1. REPORT DATE. Full publication date, including day, month, if available. Must cite at least the year and be Year 2000 compliant, e.g. 30-06-1998; xx-06-1998; xx-xx-1998.
- **2. REPORT TYPE.** State the type of report, such as final, technical, interim, memorandum, master's thesis, progress, quarterly, research, special, group study, etc.
- 3. DATES COVERED. Indicate the time during which the work was performed and the report was written, e.g., Jun 1997 Jun 1998; 1-10 Jun 1996; May Nov 1998; Nov 1998.
- **4. TITLE.** Enter title and subtitle with volume number and part number, if applicable. On classified documents, enter the title classification in parentheses.
- **5a. CONTRACT NUMBER.** Enter all contract numbers as they appear in the report, e.g. F33615-86-C-5169.
- **5b. GRANT NUMBER.** Enter all grant numbers as they appear in the report, e.g. AFOSR-82-1234.
- **5c. PROGRAM ELEMENT NUMBER.** Enter all program element numbers as they appear in the report, e.g. 61101A.
- **5d. PROJECT NUMBER.** Enter all project numbers as they appear in the report, e.g. 1F665702D1257; ILIR.
- **5e. TASK NUMBER.** Enter all task numbers as they appear in the report, e.g. 05; RF0330201; T4112.
- **5f. WORK UNIT NUMBER.** Enter all work unit numbers as they appear in the report, e.g. 001; AFAPL30480105.
- 6. AUTHOR(S). Enter name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. The form of entry is the last name, first name, middle initial, and additional qualifiers separated by commas, e.g. Smith, Richard, J, Jr.
- 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES). Self-explanatory.

### 8. PERFORMING ORGANIZATION REPORT NUMBER.

Enter all unique alphanumeric report numbers assigned by the performing organization, e.g. BRL-1234; AFWL-TR-85-4017-Vol-21-PT-2.

- 9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES). Enter the name and address of the organization(s) financially responsible for and monitoring the work.
- **10. SPONSOR/MONITOR'S ACRONYM(S).** Enter, if available, e.g. BRL, ARDEC, NADC.
- **11. SPONSOR/MONITOR'S REPORT NUMBER(S)**. Enter report number as assigned by the sponsoring/monitoring agency, if available, e.g. BRL-TR-829; -215.
- 12. DISTRIBUTION/AVAILABILITY STATEMENT. Use agency-mandated availability statements to indicate the public availability or distribution limitations of the report. If additional limitations/ restrictions or special markings are indicated, follow agency authorization procedures, e.g. RD/FRD, PROPIN, ITAR, etc. Include copyright information.
- **13. SUPPLEMENTARY NOTES.** Enter information not included elsewhere such as: prepared in cooperation with; translation of; report supersedes; old edition number, etc.
- **14. ABSTRACT.** A brief (approximately 200 words) factual summary of the most significant information.
- **15. SUBJECT TERMS.** Key words or phrases identifying major concepts in the report.
- **16. SECURITY CLASSIFICATION.** Enter security classification in accordance with security classification regulations, e.g. U, C, S, etc. If this form contains classified information, stamp classification level on the top and bottom of this page.
- 17. LIMITATION OF ABSTRACT. This block must be completed to assign a distribution limitation to the abstract. Enter UU (Unclassified Unlimited) or SAR (Same as Report). An entry in this block is necessary if the abstract is to be limited.

# Sensing Aware Design Approaches for Airborne Networks

Sumit Roy
Dept. of Electrical Engineering
University of Washington, Seattle, WA 98195
Email: sroy@u.washington.edu

March 28, 2012

Grant #: FA9550-09-1-0298 Final Report: Mar. 2009- Dec. 2011.

## 1 Abstract

Under-utilization of existing spectrum usage by licensed users is a well-known fundamental problem in current networks. A novel concept towards it's amelioration is that of cognitive radio networks that allow usage of such un- or under- used spectrum by unlicensed or secondary users, provided they do not interfere with the incumbent or primary users. Clearly, an enabler of such opportunistic use is fast and accurate determination of primary user (PUs) channel status (whether occupied or idle) by secondary networks, as well as accurate modeling of patterns of spectrum occupancy by PUs that are dynamic in both time and frequency. In our work, we explore various options - algorithmic and architectural - towards optimizing the (mean) time to detect channel status subject to accuracy constraints. Finally, we also explored information theoretic results for a new cognitive interference channel that allows for multiple co-located secondary networks, a scenario that is increasingly anticipated in the future, as shown in Fig. 1. A summary of the main results obtained and contributions to state-of-art along these directions follows.

# 2 Summary of Technical Contributions

Theme 1: Models and Optimization for Smart Secondary Sensing

In this work, we first revisit conventional models for primary channel availability such as the random (i.i.d) model and a new correlated Markov model. Secondary users search for an available idle channel using either a pure random search or pure deterministic (n-step serial) search strategies. Using the mean time for detection of an idle channel as the performance metric, we highlight a key trade-off between the mean number of steps and the sensing time per step. Reduced sensing duration per step leads to lower detection probability (Pd) thereby increasing the average number of search steps required. This suggests the existence of an optimal sensing duration which minimizes the overall mean detection time.

Further, optimization of the mean detection time also has an additional degree of freedom that has hitherto not been explored - notably the detection threshold at each step [1,7]. We investigated the impact of *joint minimization* with respect to both the threshold and sensing duration at each step. We showed that the resulting non-convex problem is actually *biconvex* under practical conditions for which effective algorithms can be developed that yields reliable numerical procedures to solve the resulting optimization problem. The results show that the proposed approach can considerably improve the mean time to detect a spectrum hole, relative to optimizing with respect to only one variable (i.e. either the threshold or sensing duration).

# **Typical Coexistence Scenario**

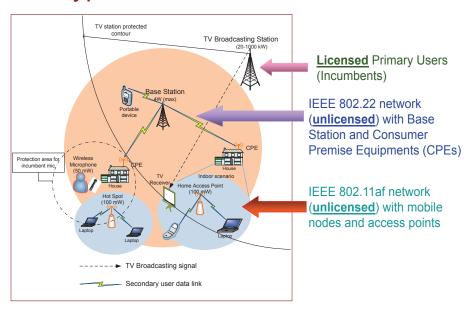


Figure 1: Heterogeneous Secondary Networks

### Theme 2: Primary Channel Occupancy Models

Validated channel occupancy models for primary users that capture *variations* in time and frequency, are relatively sparse. Our contribution to this topic focused on modeling PU channel occupancy patterns over the 700 MHz spectrum based on a publicly available spectrum measurement data-set from Aachen, Germany. The primary contribution is to highlight that channel occupancy is *non identically* distributed, as can be expected over any sufficiently broad band - counter to the common i.i.d assumption in much of the literature. A Beta distribution was postulated since empirical data shows i) a small fraction of channels occupied with high probability, ii) another small fraction of channels occupied with low probability, and iii) the remaining (majority) occupied with moderate access probabilities. Our contribution [3, 4] resulted in the first validation of this model with appropriate Beta distribution parameters estimated from the data. Second, a computationally efficient model is developed by combining Poisson distribution for low and high occupancy probability regimes, with the usual Gaussian regime for the remainder.

### Theme 3: Information theory based on a new Cognitive Interference Channel

Information theoretic analysis of cognitive radio networks has motivated new interference channel models, namely the cognitive interference channel that allows one secondary network. We introduce a new interference model [5] for two heterogeneous secondary networks (coexisting with primary network) as shown in Fig. 2 - scenarios that are expected to increasingly common in the future. This gives rise to the possibility of new information regimes based on different modes of sharing of available side information among the secondary networks. For example, we explore a scenario whereby users in the second cognitive network possess full knowledge of the transmitted symbols by the primary user and users from the first secondary network. We explore the achievable transmission rates for this regime when all users employ Gaussian codebooks by introducing a new auxiliary variable for each secondary user, a generalization of the approach proposed by Costa <sup>1</sup>

<sup>&</sup>lt;sup>1</sup>M. Costa, "Writing on Dirty Paper," IEEE Trans. IT, 1983.

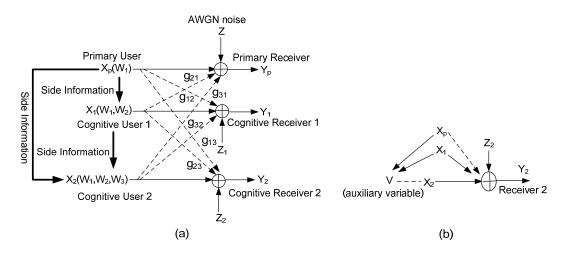


Figure 2: Heterogeneous Cognitive Interference Channel

in determining the capacity of such a channel. We show that the achievable transmission rates in this case is substantially higher than that of Costa's achievable rates using dirty paper coding in the low and strong interference regions.

## 3 Personnel Supported

The funding was used to support Dr. Ling Luo (PhD student) in 2009-10 and Dr. Chittabrata Ghosh, a post-doctoral scholar for 2010-2011.

### 4 Publications

- 1. L. Luo, C. Ghosh and S. Roy, Joint Optimization of Spectrum Sensing for Cognitive Radio Networks, Proc. IEEE Globecom 2010, Miami, FL, Dec. 2010.
- 2. C. Ghosh, S. Roy, and D. Cavalcanti, "Coexistence in Cognitive Wireless Heterogeneous Networks," IEEE Wireless Communication Magazine, October 2011.
- 3. C. Ghosh, S. Roy, M. B. Rao, and D. P. Agrawal, "Spectrum Occupancy Modeling and Validation using Real-time Measurements," 2nd ACM Sigmobile Workshop on Cognitive Wireless Networking, CoRoNet'10, September 20, 2010.
- 4. C. Ghosh, S. Roy and M. B. Rao, "Spectrum Availability Modeling and Validation using Real-time Measurements," submitted to IEEE J. Selected Areas Commn., Jun. 2011.
- 5. C. Ghosh and S. Roy, "Achievable Transmission Rates in a Heterogeneous Cognitive Networks," submitted to IEEE Transactions on Information Theory, March 2011.
- 6. L. Luo and S. Roy, Modeling and Analysis of Detection Time Trade-offs for Channel Searching in Cognitive Radio Networks, IET Communications, 2012 (to appear).
- 7. L. Luo and S. Roy, Efficient Spectrum Sensing for Cognitive Radio Networks via Joint Optimization of Sensing Threshold and Duration, IEEE Trans Commn., 2012 (accepted).